

**Address to:**  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

|                             |                 |
|-----------------------------|-----------------|
| <i>Application No.</i>      | 09/965,803      |
| <i>Filing Date</i>          | October 1, 2003 |
| <i>First Named Inventor</i> | KAZUHIRO TANAKA |
| <i>Group Art Unit</i>       | 1763            |
| <i>Examiner Name</i>        | R. Culbert      |
| <i>Attorney Docket No</i>   | 401384          |

**1. Submission required under 37 CFR 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on  
(Any unentered amendment(s) referred to above will be entered.)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other:
- b. ☐ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)
- iv. ☒ Form PTO-1449
- v. ☒ Copies of References listed in Form PTO-1449  
(except for U.S. patents and applications)
- vi. ☐ Other:

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; fee under 37 CFR 1.17(i) required.)
- b. ☐ Applicant claims small entity status. See 37 CFR 1.27
- c. ☐ Other:

**3. Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ Please charge Deposit Account No. 12-1216 in the total amount indicated below. A duplicate copy of this transmittal sheet is enclosed herewith.
- i. ☒ RCE fee of \$770.00 (large entity) required under 37 CFR 1.17(e)
- ii. ☐ One-month extension of time fee of \$110.00 (37 CFR 1.136 and 1.17)
- iii. ☐ Petition for an extension of time for the period noted above, as well as for any additional period necessary to render the present submission timely. Please charge Deposit Account No. 12-1216 for the appropriate petition fee.
- iv. ☐ Suspension of action fee of \$130.00 (37 CFR 1.17(i))
- v. ☐ Other:

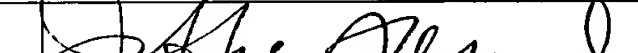
**\$770.00**

**Total amount to be charged to Deposit Account**

**\$770.00**

- b. ☒ The Commissioner is hereby authorized to charge any deficiencies in the above fees or to credit any overpayments to Deposit Account No. 12-1216

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED**

|                   |  |  |              |
|-------------------|--|--|--------------|
| Name (Print/Type) | Jeffrey A. Wyand   | Registration No. (Attorney/Agent)                        | 29,458       |
| Signature         |  | Date   | May 14, 2004 |
| Address           | Leydig, Voigt & Mayer<br>700 Thirteenth Street, NW #300<br>Washington, DC 20005      | (202) 737-6770 (telephone)<br>(202) 737-6776 (facsimile) |              |



**PATENT**  
Attorney Docket No. 401384

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

KAZUHIRO TANAKA

Application No. 09/965,803

Filed: October 1, 2001

For: METHOD OF CHEMICAL  
MECHANICAL POLISHING

Art Unit: 1763

Examiner: R. Culbert

**RESPONSE TO OFFICE ACTION**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated February 25, 2004, please enter the following amendments and consider the following remarks.